

The Alan Turing Institute ② @turinginst · Nov 20

Don't miss: Prof Neil Lawrence, Senior #Al Fellow at @turinginst & DeepMind Professor of #MachineLearning at @Cambridge_Uni - our final

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 $\#Turing Covid Conf\ keynote\ speaker.$

@lawrennd will discuss #policy, science and the convening power of #data

turing.ac.uk/CovidConference

#COVID19

Methods of Applied Statistics I

STA2101H F LEC9101

Week 11

November 26 2020





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#COVID19



♡ 31

"statisticians have a feel for the data that is crucial" Today Start Recording

- 1. HW3 due December 3
- 2. Nonparametric regression continued
- 3. Prediction and Explanation
- 4. Strategies for Modelling
- 5. In the News
- November 30 15.00 16.00 Tyler McCormick
- https://canssiontario.utoronto.ca/?mec-events
- "Identifying the latent space geometry of network models through analysis of curvature"
- Dec. 4 noon Kathryn Roeder
- Dec. 7 Margaret Roberts
- Dec. 14 Kosuke Imai



Recap

- Generalized linear models theory
- flexible modelling via expected value and variance function
- inference using theory of likelihood functions

$$\mathbb{E}(y_i) = \mu_i;$$
 $g(\mu_i) = \mathbf{x}_i^\mathsf{T} \beta;$ $Var(y_i) = \phi_i V(\mu_i)$ $\phi_i = a_i \phi_i$

Recap

- Generalized linear models theory
- flexible modelling via expected value and variance function
- inference using theory of likelihood functions
- nonparametric regression
- local averaging
- local polynomial regression
- kernel function (for averaging)
- bandwidth (for local)

$$\mathbb{E}(\mathbf{y}_i) = \mu_i; \qquad \mathbf{g}(\mu_i) = \mathbf{x}_i^\mathsf{T} \boldsymbol{\beta};$$

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$$Var(y_i) = \phi_i V(\mu_i)$$
 $\phi_i = a_i \phi$

$$\phi_i = a_i \phi$$

local least squares

$$\hat{\beta} = (X^{T}W^{-1}X)X^{T}WZ \qquad \qquad \hat{\beta} = \mathcal{Z}(\beta)$$
iteration
$$\hat{\beta}^{(t+1)} = (X^{T}W^{(t)}X)^{-1}X^{T}W^{(t)}z^{(t)} \qquad \qquad z^{(t)} = X\hat{\beta}^{(t)} + \hat{W}^{-1(t)}\hat{u}^{(t)};$$

$$W^{(t)} = W(\hat{\beta}^{(t)}), \quad u^{(t)} = u(\hat{\beta}^{(t)})$$

$$\hat{\beta} = (X^T W^{-1} X) X^T W Z^{-1}$$
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At convergence,

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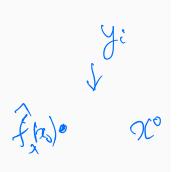
$$\widehat{\beta} = (X^T \widehat{W} X)^{-1} X^T \widehat{z}$$

$$\widehat{Var}(\widehat{\beta}) \doteq (X^T \widehat{W} X)^{-1}$$

W is diagonal

g(pi) = 7: B

$$W_{ii} \stackrel{\text{(b)}}{=} \frac{1}{\phi a_i \{g'(\mu_i)\}^2 V(\mu_i)}, \qquad u_i \stackrel{\text{(b)}}{=} \frac{y_i - \mu_i (\beta)}{\phi a_i g'(\mu_i) V(\mu_i)}$$



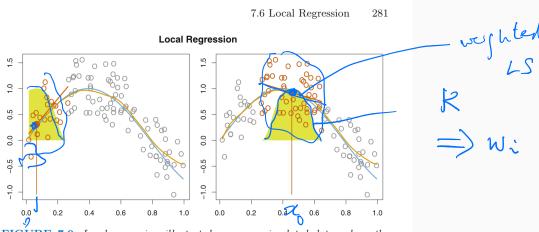
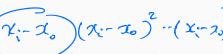


FIGURE 7.9. Local regression illustrated on some simulated data, where the blue curve represents f(x) from which the data were generated, and the light orange curve corresponds to the local regression estimate $\hat{f}(x)$. The orange colored points are local to the target point x_0 , represented by the orange vertical line. The yellow bell-shape superimposed on the plot indicates weights assigned to each point, decreasing to zero with distance from the target point. The fit $\hat{f}(x_0)$ at x_0 is obtained by fitting a weighted linear regression (orange line segment), and using the fitted value at x_0 (orange solid dot) as the estimate $\hat{f}(x_0)$.

•
$$\hat{\beta} = (X^T W X)^{-1} X^T W Y$$

$$W = diag(w_1, \ldots, w_n)$$



• $\hat{f}_{\lambda}(x_0) = \hat{\beta}_0 = \sum_{i=1}^n \underline{S(x_0; x_i, \lambda)} y_i$

weights from kernel function Nov 19 Slide 9
fit a poly, use only the intercept

- $S(x_0; x_1, \lambda), \dots, S(x_0; x_n, \lambda)$ first row of "hat" matrix $(X^TWX)^{-1}X^TW$
- this makes it relatively easy to analyse the behaviour of local polynomial smoothers

SM §10.7

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- and to simplify the expression for the cross-validation criterion $CV(\lambda)$

• fitting at each sample value gives

 $\hat{f}_{\lambda}(x_i) = \sum_{j=1}^{n} S(x_i; x_j, \lambda) y_j$

an y's fr a conve

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• model:
$$y_i = f(x_i) + \epsilon_i$$
, $i = 1, ..., n$; $\mathsf{E}(\epsilon_i) = \mathsf{O}$; $\mathsf{var}(\epsilon_i) = \sigma^2$

- model: $y_i = f(x_i) + \epsilon_i$, i = 1, ..., n; $\mathsf{E}(\epsilon_i) = \mathsf{O}$; $\mathsf{var}(\epsilon_i) = \sigma^2$
- $\hat{f}_{\lambda}(x_0) = \hat{\beta}_0 = \sum_{i=1}^n S(x_0; x_i, \lambda) y_i$

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•
$$\hat{f}_{\lambda}(x_0) = \hat{\beta}_0 = \sum_{i=1}^n S(x_0; x_i, \lambda) y_i$$

$$\cdot E\{\hat{f}_{\lambda}(x_{0})\} = \sum S(n_{0}; \kappa_{i}, \lambda) Ey; = \sum_{i \in S} S(n_{0}, \alpha_{i}, \lambda) f(\alpha_{i})$$

$$\neq f(\alpha_{0})$$

• model:
$$y_i = f(x_i) + \epsilon_i$$
, $i = 1, ..., n$; $E(\epsilon_i) = 0$; $var(\epsilon_i) = \sigma^2$

•
$$\hat{f}_{\lambda}(x_0) = \hat{\beta}_0 = \sum_{i=1}^n S(x_0; x_i, \lambda) y_i$$

•
$$E\{\hat{f}_{\lambda}(x_0)\} = \sum S(x_0, x_0, \lambda) f(x_0)$$

$$\cdot \operatorname{var}\{\hat{f}_{\lambda}(x_{0})\} = \sum_{i=1}^{N} S^{2}(n_{0}, x_{i}, x_{i}) \cdot \sigma^{2} = \sigma^{2} \sum_{i=1}^{N} S^{2}(n_{0}, x_{i}, x_{i}) \cdot \sigma^{2}$$

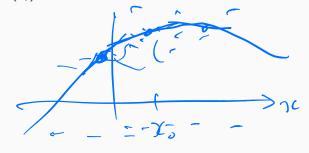
• model:
$$y_i = f(x_i) + \epsilon_i$$
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•
$$\hat{f}_{\lambda}(x_0) = \hat{\beta}_0 = \sum_{i=1}^n S(x_0; x_i, \lambda) y_i$$

•
$$\mathsf{E}\{\hat{f}_{\lambda}(\mathsf{x}_{\mathsf{o}})\} =$$

•
$$\operatorname{var}\{\hat{f}_{\lambda}(x_{o})\} =$$

how many parameters did we fit?



• model:
$$y_i = f(x_i) + \epsilon_i$$
, $i = 1, ..., n$; $E(\epsilon_i) = 0$; $var(\epsilon_i) = \sigma^2$

•
$$\hat{f}_{\lambda}(x_{o}) = \hat{\beta}_{o} = \sum_{i=1}^{n} S(x_{o}; x_{i}, \lambda) y_{i}$$

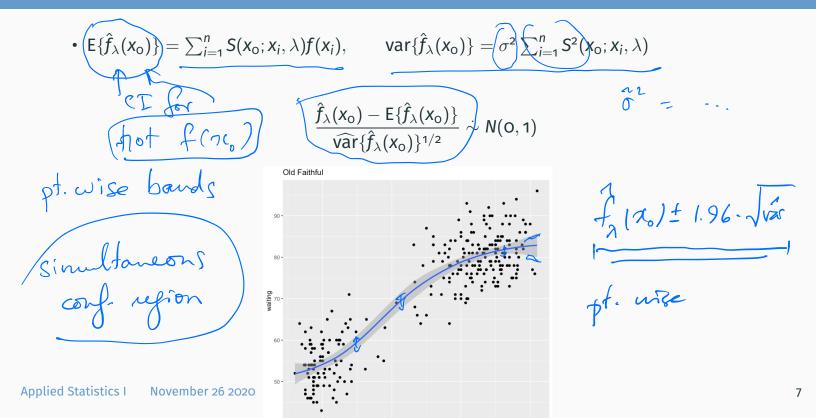
•
$$E\{\hat{f}_{\lambda}(x_{o})\} =$$

•
$$\operatorname{var}\{\hat{f}_{\lambda}(x_{o})\} =$$

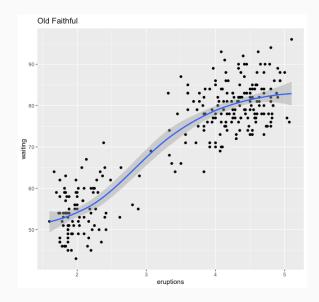
• by analogy with least squares, estimates of 'degrees of freedom' are

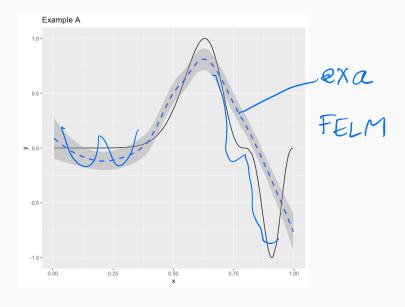
$$\underbrace{\begin{array}{c}
\nu_1 = \operatorname{tr}(S_{\lambda}), \text{ or } \nu_2 = \operatorname{tr}(S_{\lambda}^{\mathsf{T}} S_{\lambda}) \\
\widehat{\sigma}^2 = \frac{1}{n - 2\nu_1 + \nu_2} \sum \{y_i - \hat{f}_{\lambda}(x_i)\}^2
\end{array}}_{N \sim \mathcal{V}_{\lambda}}$$

 $f_{\lambda}(\alpha_i) = \sum S() \cdot \partial_i$ $S_{\lambda} = n \times n \quad S_{\lambda}(\alpha_i, \alpha_i, \alpha_i) \lambda$



... inference after fitting local polynomials





J. R. Statist. Soc. A (2006) 169, Part 2, pp. 179–203

Model choice in time series studies of air pollution and mortality

Roger D. Peng, Francesca Dominici and Thomas A. Louis

Johns Hopkins Bloomberg School of Public Health, Baltimore, USA

[Received September 2004. Final revision July 2005]

4. National Morbidity, Morbidity, and Air Pollution Study data analysis

We apply our methods to the NMMAPS database which comprises daily time series of air pollution levels, weather variables and mortality counts. The original study examined data from 90 cities for the years 1987–1994 (Samet *et al.*, 2000a, b). The data have since been updated to include 10 more cities and six more years of data, extending the coverage until the year 2000. The entire database is available via the NMMAPSdata R package (Peng and Welty, 2004) which can be downloaded from the Internet-based health and air pollution surveillance system Web site at http://www.ihapss.jhsph.edu/.

The full model that is used in the analysis for this section is larger than the simpler model that was described in Section 3. We use an overdispersed Poisson model where, for a single city,

$$\log \{\mathbb{E}(Y_t)\} = \text{age-specific intercepts} + \text{day of week} + \beta PM_t + f(\text{time, df}) + s(\text{temp}_t, 6) + s(\text{temp}_{t-3}, 6) + s(\text{dewpoint}_t, 3) + s(\text{dewpoint}_{t-3}, 3).$$

$$7_t = 2^T \beta$$

- 90 largest cities in US by population (US Census)
- daily mortality counts from National Center for Health Statistics 1987–1994
- hourly temperature and dewpoint data from National Climatic data Center
- data on pollutants $(PM_{10}, O_3, CO, SO_2, NO_2)$ from EPA

- 90 largest cities in US by population (US Census)
- daily mortality counts from National Center for Health Statistics 1987–1994
- hourly temperature and dewpoint data from National Climatic data Center
- data on pollutants PM₁₀, O₃, CO, SO₂, NO₂ from EPA
- response: Y_t number of deaths on day t
- explanatory variables: X_t pollution on day t-1, plus various confounders: age and size of population, weather, day of the week, time
- mortality rates change with season, weather, changes in health status, ...

NMMAPS: National Morbidity, Mortality and Air Pollution Study

- $Y_t \sim Poisson(\mu_t)$
- $\log(\mu_t) = \underset{t=1}{\operatorname{age specific intercepts}} + \underset{t=1}{\beta PM_t} + \underset{t=1}{\gamma DOW} + \underset{t=1}{s(t,df)} + s(temp_t,6) + s(temp_{t-1},6) + s(dewpoint_{t-1},3) + s_4(dew_0,3) + s_5(dew_{1-3},3)$
- three ages categories; separate intercept for each $(<65,65-74,\geq75)$
- · dummy variables to record day of week

- $Y_{t_l} \sim Poisson(\mu_t)$
 - in city

Pena

day of

- $\log(\mu_t) = \text{age specific intercepts} + \beta PM_t + \gamma DOW + g(t, df) + s(temp_t, 6) + s(temp_{t-3}, 6) + s(dewpoint_t, 3) + s(dewpoint_{t-3}, 3) + s(dew_0, 3) + s(dew_{t-3}, 3)$
- three ages categories; separate intercept for each $(<65, 65-74, \ge 75)$



- · dummy variables to record day of week
- s(x,7) a smoothing spline of variable x with 7 degrees of freedom



- estimate of β_c for each city; estimates pooled using Bayesian arguments for an overall estimate
- · very difficult to separate out weather and pollution effects

see also: Crainiceanu, C., Dominici, F. and Parmigiani, G. (2008). Biometrika 95 635-51

- $y_i = f(x_i) + \epsilon_i$
- there are many different methods for estimating $f(\cdot)$
- local polynomial regression stats::loess, KernSmooth::locpoly FELM 11.3; SM 10.7.1
- regression splines splines::bs , splines::ns smoothing splines - stats:smooth.spline
- penalized splines pspline::smooth.Pspline
 wavelets wavethresh::wd
 and more...

 Peng et al. 2006

 FELM 11.4 bs(x, df=4)FELM 11.5; ISLR Ch.7

smoothing splines:

 $\min \sum \{y_i - f(x_i)\}^2 + \sum_{i=1}^{n} (y_i - f(x_i))^2 + \sum_{i=1$

FELM 11.2b p 218ff FELM 11.2a; SM 10.7.2

 $f(x_0) = \sum_{i=0}^{\infty} (x_0, x_i)^{i}$

Elem Stat Learning, Hastie et al.

Example

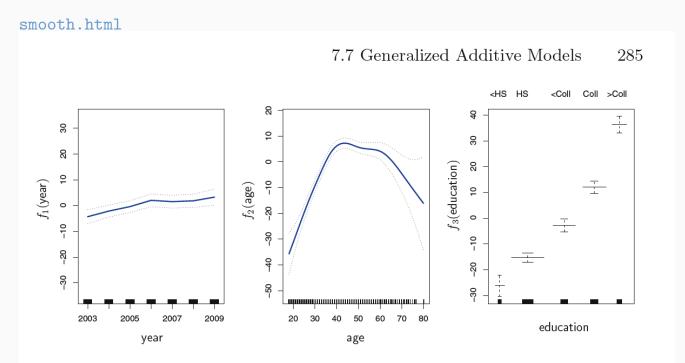
```
with(exa, plot(x,y, cex = 2, main = "Example A", pch = "."))
                            lines(m ~ x, exa)
                           lines(loess.smooth(exa$x, exa$y), col="red") $\frac{1}{2} \frac{1}{2} \frac{1}
                 _lines(exa.sm1$x, exa.sm1$y, col="blue")
                             lines(loess.smooth(exa$x, exa$y, span = 1/3), col="red", lty = 2)
                                                                                                                                                                                                                                                                                                               Example A
Applied Statistics I
                                                                                                                                November 26 2020
                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  13
```

- more than 1 x
- local polynomials in two (or three) dimensions
- thin-plate splines
- additive models: $y_i = \beta_0 + f_1(x_{1i}) + f_2(x_{2i}) + \cdots + f_p(x_{pi}) + \epsilon_i$
- beyond least squares
- GAM: e.g. $\log\{p_i/(1-p_i)\} = \beta_0 + f_1(x_{1i}) + f_2(x_{2i}) + \cdots + f_p(x_{pi})$

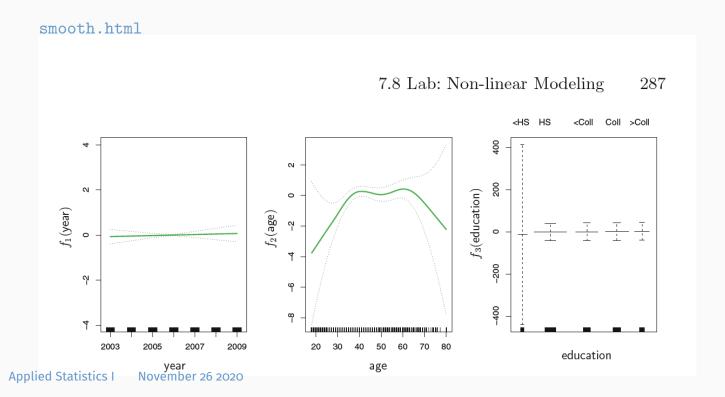
ISLR 7.7

- penalized regression with GLMs
- e.g. linear predictor $\eta_i = \mathbf{x}_i^\mathsf{T} \boldsymbol{\beta} + f(t_i)$

SM 10.7.3



Applied SLOSURE Not 2nd Details oare as in Figure 7.11, but now f_1 and f_2 are smoothing splines with four and five degrees of freedom, respectively.



Aside: Explanation vs Prediction

- regression (and other) models may be fit in order to uncover some structural relationship between the response and one or more predictors
- How do wages depend on education?
- How does numeracy score affect probability of saying yes to vaccine?
- statistical analysis will focus on estimation and/or testing
- it is a remarkable fact that the data provides both an estimate of a model parameter and an estimate of uncertainty
- the focus might instead be on predicting responses for new values of x
- or classifying new observations on the basis of their x values
- the statistical analysis will focus on the prediction/classification
- the data used to fit the model does not provide a good assessment of the prediction or classification error
- motivates the division of data into training and test sets

How do we choose a model?

CD Ch. 6.5

• in many fields of study the models used as a basis for interpretation do not have a special subject-matter base

rather represent broad patterns of haphazard variation quite widely seen

How do we choose a model?

- in many fields of study the models used as a basis for interpretation do not have a special subject-matter base
- rather represent broad patterns of haphazard variation quite widely seen
- this is typically combined with a specification of the systematic part of the variation
- which is often the primary focus

- in many fields of study the models used as a basis for interpretation do not have a special subject-matter base
- rather represent broad patterns of haphazard variation quite widely seen
- this is typically combined with a specification of the systematic part of the variation
- which is often the primary focus
- modelling then often reduces to a choice of distributional form
- and of the independence structure of the random components

... empirical models

• functional form of the probability distribution sometimes critical, for example where an implicit assumption is involved of a relationship between variance and mean: geometric, Poisson, binomial

CD Ch. 6.5

 the simple situations that give rise to binomial, Poisson, geometric, exponential, normal and log normal are some guide to empirical model choice in more complex situations

- functional form of the probability distribution sometimes critical, for example where an implicit assumption is involved of a relationship between variance and mean: geometric, Poisson, binomial
- the simple situations that give rise to binomial, Poisson, geometric, exponential, normal and log normal are some guide to empirical model choice in more complex situations
- In some specific contexts there is a tradition establishing the form of model
- illustration: financial time series $Y(t) = \log\{P(t)/P(t-1)\}$ has a long-tailed distribution, small serial correlation, large serial correlation in $Y^2(t)$ stock price
- often have a long tail of large values; exponential distribution is a natural staring point
- extensions may be needed, including Weibull, gamma or log-normal

... empirical models

- often helpful to develop random and systematic parts of the model separately
- models should obey natural or known constraints, even if these lie outside the range of the data

• example
$$P(Y = 1) = \alpha + \beta X \Longrightarrow \log \frac{P(Y = 1)}{P(Y = 0)} = \alpha' + \beta' X$$

- often helpful to develop random and systematic parts of the model separately
- models should obey natural or known constraints, even if these lie outside the range of the data
- example $P(Y = 1) = \alpha + \beta X \Longrightarrow \log \frac{P(Y = 1)}{P(Y = 0)} = \alpha' + \beta' X$
- however, β measures the change in probability per unit change in x

 β' does not

- when relationship between y and several variables $x_1, \dots x_p$ is of interest
 - unlikely that the system is wholly linear
 - impractical to study nonlinear systems of unknown form
 - therefore reasonable to begin with a linear model
 - and seek isolated nonlinearities

... empirical models

CD Ch. 6.5

- often helpful to develop random and systematic parts of the model separately
- naive approach: one random variable per study individual
- · values for different individuals independent

... empirical models

- often helpful to develop random and systematic parts of the model separately
- naive approach: one random variable per study individual
- · values for different individuals independent
- · more realistic: possibility of structure in the random variation
- dependence in time or space, or a hierarchical structure corresponding to levels of aggregation
- ignoring these complications may give misleading assessments of precision, or bias the conclusions

0.1 0.2 0.4 0.8

1.26 1.48 1.84 2.41 1.30 1.55 1.95 2.57 1.34 1.61 2.05 2.72

- example: standard error of mean σ/\sqrt{n}
- but, under mutual correlation, becomes $(\sigma/\sqrt{n})(1+\Sigma\rho_{ij})^{1/2}$
- if each observation correlated with k others, at same level, $(\sigma/\sqrt{n})(1+k\rho)^{1/2}$

- important to be explicit about the unit of analysis
- has a bearing on independence assumptions involved in model formulation

CD Ch. 6.5

- example: if all patients in the same clinic receive the same treatment
- then the clinic is the unit of analysis
- in some contexts there may be a clear hierarchy
- assessment of precision comes primarily from comparisons between units
- modelling of variation within units is necessary only if of intrinsic interest
- when relatively complex responses are collected on each individual, the simplest way of condensing these is through a number of summary descriptive measures
- in other situations it may be necessary to represent explicitly the different hierarchies of variation

In the News

- "Toronto researchers have found a new way to speed up the body's ability to rid itself of alcohol" Globe & Mail, Nov 12
- "Williams prof disavows own finding of mishandled GOP ballots"
- "The association between early career informal mentorship in academic collaborations and junior author performance"
- "What We Know About AstraZeneca's Head-Scratching Vaccine Results" NY Times Nov 24
- "A very, very bad look for remdesivir" Science, Nov 6

scientific reports

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Article | Open Access | Published: 12 November 2020

Accelerated ethanol elimination via the lungs

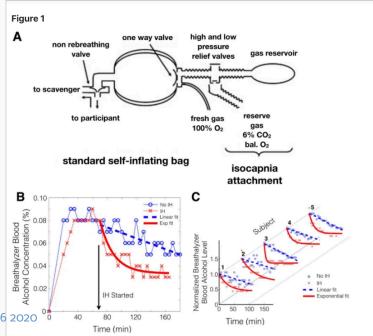
Jesse M. Klostranec, Diana Vucevic, Adrian P. Crawley, Lashmi Venkatraghavan, Olivia Sobczyk, James Duffin, Kevin Sam, Royce Holmes, Ludwik Fedorko, David J. Mikulis & Joseph A. Fisher ⊡

Scientific Reports 10, Article number: 19249 (2020) | Cite this article

17k Accesses | 561 Altmetric | Metrics

Abstract

Link



Voting error Twitter, Bergstrom

Link



In sworn statement, prominent mathematician flags up to 100,000 Pennsylvania ballots

Federal Elections Commission Chairman Trey Trainor says new analysis by professor Steven Miller "adds to the conclusions that some level of voter fraud took place in this year's election."



TOP STORY Williams prof disavows own finding of mishandled GOP ballots

By Francesca Paris, The Berkshire Eagle 16 hrs ago



Steven Miller, a professor of mathematics at Williams College, issued a statement Monday in which he apologized for a "lack of clarity and due diligence" after his statistical analysis of Pennsylvania mail-in votes was

12

56



Carl T. Bergstrom • Ov 24

You know? Instead of doubling down, he corrected the record whe mistake. I give him credit for that.

1

♡ 37

Voting error Berkshire Eagle

"In a signed affidavit, Miller claimed to show that more than 89,000 ballots requested by Pennsylvania
 Republicans either were not counted by the state or requested by someone other than the registered
 Republican

- "He used data provided by former Donald Trump campaign staffer Matt Braynard.
- "Election officials have called the voting process secure
- "Miller told The Eagle that he made a mistake separating his analysis of the data from questions about the reliability of the data itself.
- "Braynard collected the data by contracting call centers to get in touch with Republican voters across six swing state
- "In his analysis, Miller wrote that the group called 20,000 Republican voters in Pennsylvania who, according to state records, had requested but not returned ballots. In all, 2,684 agreed to answer questions
- "Of the respondents, 463 reported that they actually had mailed in a ballot and 556 reported that they had not requested a ballot ... Miller extrapolated from those numbers
- 'To apply nave statistical formulas to biased data and publish this is both irresponsible and unethical,' De Veaux wrote in a statement

000

Link

↑↑ Daniela Witten Retweeted



Leslie Vosshall PhD @pollyp1 · Nov 19

Open letter to the Editor-in-Chief of @NatureComms about the AlShebli paper, which claims that training with #WomenInSTEM damages the careers of young scientists

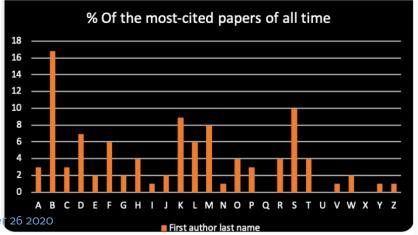


Link to Gelman's blog post



Based on our exhaustive research, scientists will be more successful by choosing mentors and/or mentees whose last name starts with 'B'. Wondering where I could submit this...

Show this thread



Link



Remdesivir

Link to Science article Link to BMJ article



President Donald Trump and FDA Commissioner Stephen Hahn (right) met with Gilead CEO Daniel O'Day (left) after remdesivir received an emergency use authorization.

COVID-19

'A very, very bad look' for remdesivir

FDA and Europe anointed it as a key therapy just after a major study found it has little value

Downloaded from

Science, Nov.6